

SWITCHED-CAPACITOR FUNDAMENTALS AND CIRCUITS

- Mathematical Background
- Basic Building Blocks
- SC Filters
- SC Oscillators

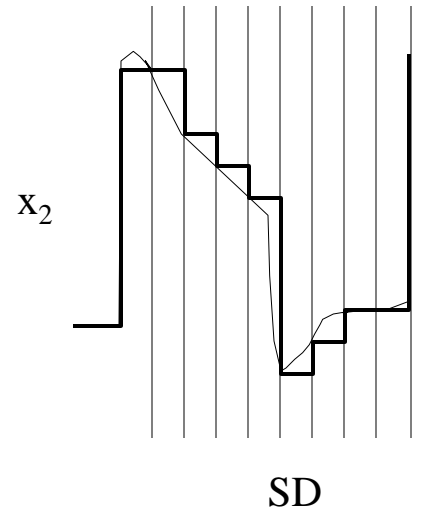
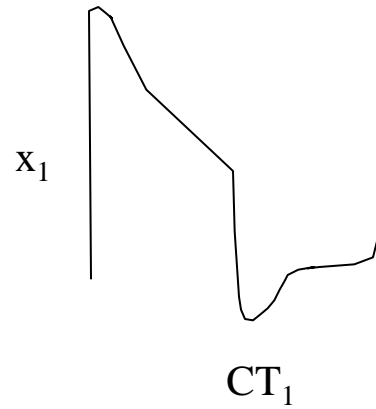
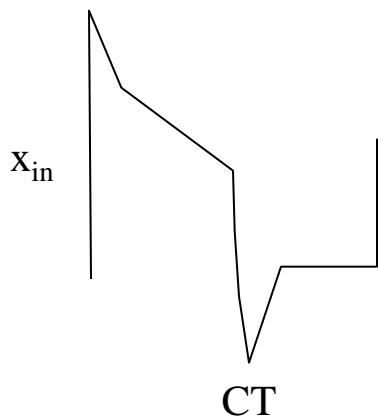
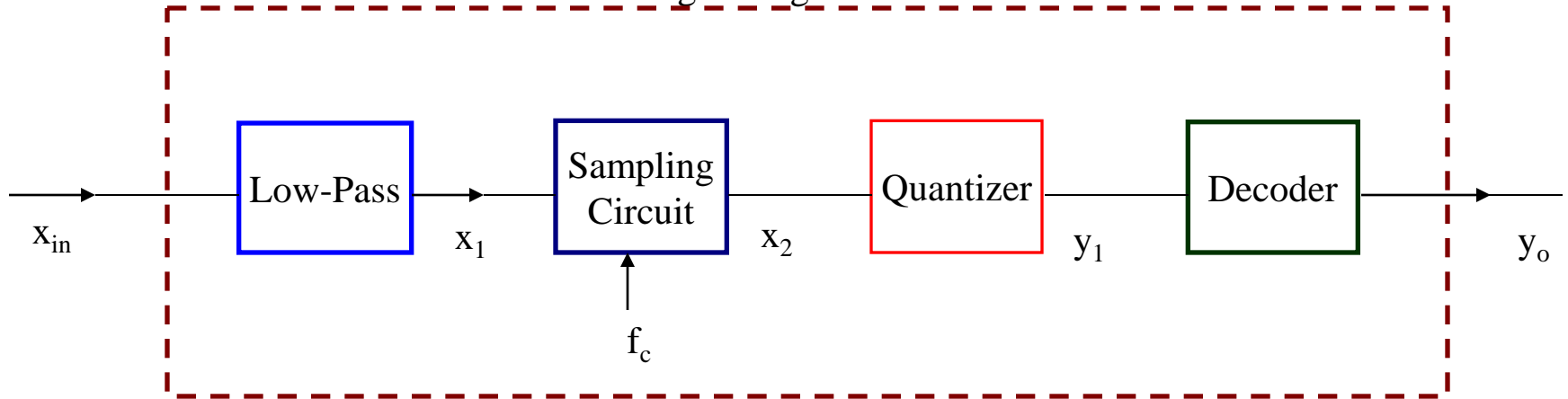


FUNDAMENTALS ON SC CIRCUITS

- $\tau = RC = R_{eq}C = \frac{T}{C_{eq}}C = \frac{1}{f_c} \left(\frac{C}{C_{eq}} \right)$ very accurate!
- Bottlenecks: Switches and High-Speed Op Amps. Non-idealities \Rightarrow enemies!
- The best approach in audio applications.
- Design is carried-out in the Z domain, same domain as for digital circuits.
- Conventional Programming is done via capacitor banks. Spread?
- New non-uniform sampling techniques opens practical application possibilities.

Examples of Analog and Digital Signals

Analog-to-Digital Converter



y_o 1's and 0's

Analog and Digital Signals and Systems Concepts

Types of signals	Mathematical Description
<ul style="list-style-type: none">• Continuous-Time (CT)<ul style="list-style-type: none">--Continuous values in time--Continuous values in magnitude	Laplace
<ul style="list-style-type: none">• Sampled Data (SD)<ul style="list-style-type: none">--Continuous values in magnitude--Discrete values in time	Z-Transform
<ul style="list-style-type: none">• Digital<ul style="list-style-type: none">--Discrete values in magnitude--Discrete values in time	Z-Transform

OPERATOR $Z^{-1} \implies$ UNIT DELAY

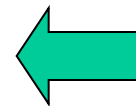
$$Z^{-1}Y(Z) = Z^{-1} \sum_{n=0}^{\infty} y(n)Z^{-n}$$

$$= \sum_{n=0}^{\infty} y(n)Z^{-(n+1)} = \sum_{n=1}^{\infty} y(n-1)Z^{-n}$$

$$Z^{-1}Y(Z) = \sum_{n=0}^{\infty} y(n-1)Z^{-n} - y(-1) = Y[y(n-1)] - y(-1)$$

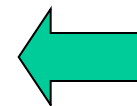
If $y(nT) = 0$ for $t < 0$, $y(-1) = 0$

$$Z^{-1}Y(Z) = Z^{-1}[y(nT)] = Y[y(n-1)T]$$



In general

$$Z^{-m}Y(Z) = Y[y(n-m)T]$$



STABILITY OF A SECOND-ORDER HOMOGENEOUS DIFFERENCE EQUATION

$$y(n) + a_1 y(n-1) + a_2 y(n-2) = 0$$



$$Y(Z)(1 + a_1 Z^{-1} + a_2 Z^{-2}) = 0$$

The C.E.

$$Z^2 + a_1 Z + a_2 = (Z - Z_1)(Z - Z_2) = 0$$

COMPLEX ROOTS

$$Z_1, Z_2 = \frac{a_1}{2} \pm \sqrt{\frac{a_1^2}{4} - a_2}, \text{ if } \frac{a_1^2}{4} - a_2 < 0, Z_1, Z_2 = r e^{\pm j\theta} = x \pm jy$$

$$r = \sqrt{x^2 + y^2} = \sqrt{a_2}, \cos \theta = \frac{x}{r} = -\frac{a_1}{2\sqrt{a_2}}$$

The C. E. yields

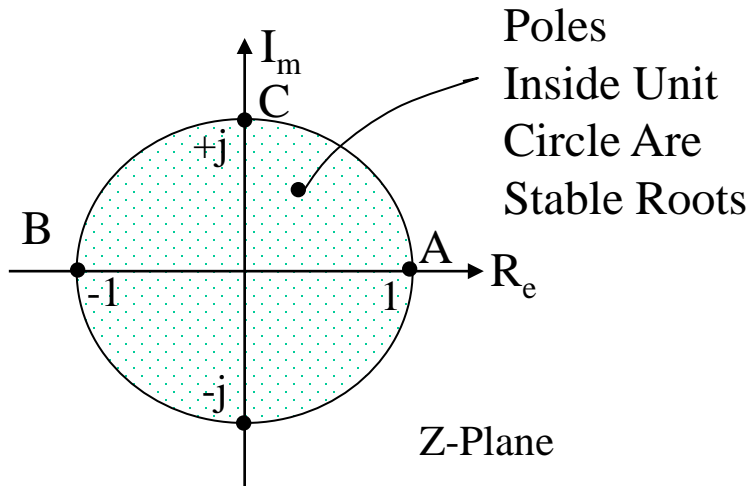
$$Z^2 - 2r \cos \theta Z + r^2 = 0$$

Natural Response

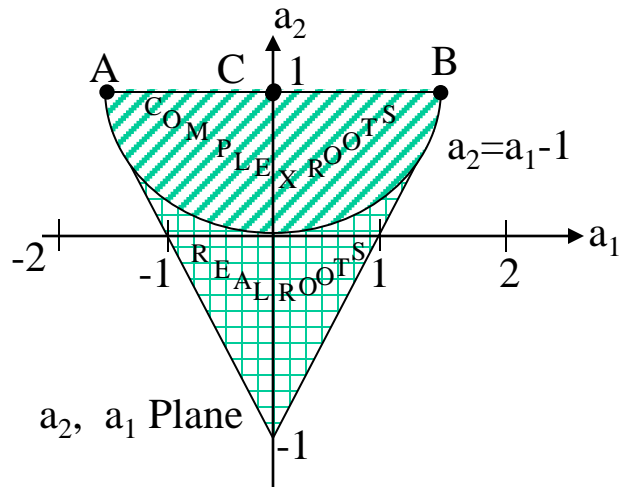
$$y(n) = K r^n \cos\left(2\pi \frac{nf}{fs} + \phi\right)$$

$$a_1, a_2 \leftrightarrow r, \theta$$

$$k, \phi \leftarrow \text{Initial Conditions}$$



$a_1^2 > 4a_2$
for
Real Roots



$$\left. \begin{aligned} -a_1 &= 2r \cos \theta \\ a_2 &= r^2 \end{aligned} \right\} \text{For Complex Stable Roots}$$

Relationship between the poles in the Z-Plane and coefficients a_1 and a_2 .

MAPPING BETWEEN THE S- AND THE Z-PLANES FOR HIGH SAMPLING

Backward

$$Z_{p1} = \frac{1}{1 - s_p T}$$

Forward

$$Z_{p2} = 1 + s_p T$$

Bilinear

$$Z_{p3} = \frac{1 + s_p T / 2}{1 - s_p T / 2}$$

Impulse Invariant

$$Z_{p4} = e^{s_p T}$$

For small $s_p T$, $s_p T \ll 1$.

$$Z_{p1} \cong 1 + s_p T + (s_p T)^2 + (s_p T)^3 + \dots$$

$$Z_{p2} = 1 + s_p T$$

$$Z_{p3} \cong 1 + (s_p T) + \frac{(s_p T)^2}{2} + \frac{(s_p T)^3}{4} + \dots$$

$$Z_{p4} \cong 1 + s_p T + \frac{(s_p T)^2}{2} + \frac{(s_p T)^3}{6} + \dots$$

Ignoring higher order effects

$$Z_{p1} \cong Z_{p2} \cong Z_{p3} \cong Z_{p4} \cong 1 + s_p T$$

For Very High Sampling Rate
The Approximation For The
Mapping is the Same!

$$Z_p \cong 1 + s_p T$$

RELATIONS BETWEEN POLES IN THE S- AND Z- DOMAIN

$$s^2 + \frac{\omega_n}{Q}s + \omega_n^2 = 0$$

$$= (s + a)^2 + b^2 = 0$$

$$a = \frac{\omega_n}{2Q}$$

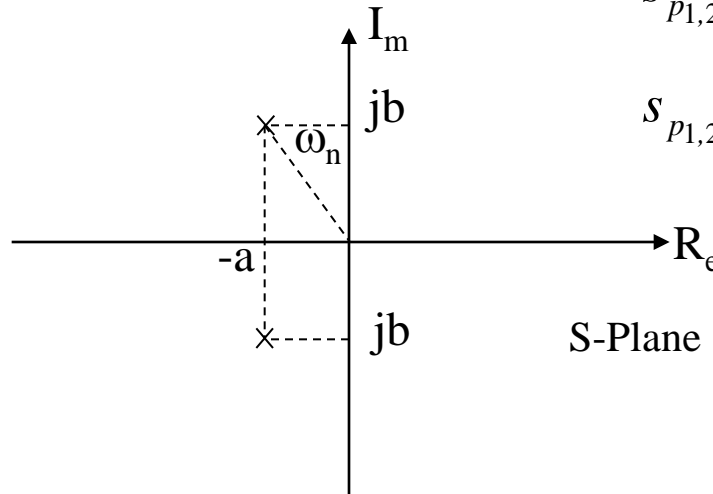
$$b = \frac{\omega_n}{2Q} \sqrt{4Q^2 - 1}$$

$$Z^2 + b_1 Z + b_2 = 0$$

$$Z^2 - 2r \cos \theta Z + r^2 = 0$$

$$Z_{pi} = 1 + s_{pi} T$$

(High Sampling)



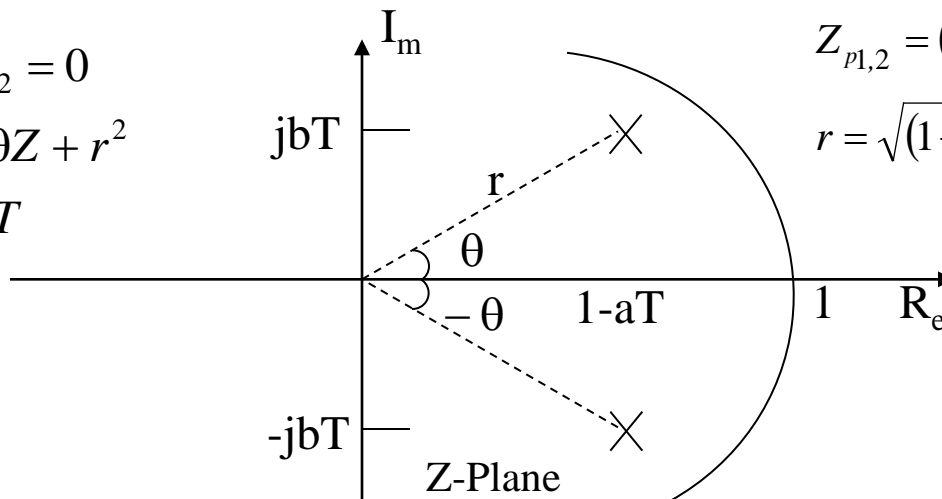
$$s_{p1,2} = -\frac{\omega_n}{2Q} \pm j \frac{\omega_n}{2Q} \sqrt{4Q^2 - 1}$$

$$s_{p1,2} = -a \pm jb$$

$$Z_{p1,2} = 1 + s_{p1,2} T$$

$$Z_{p1,2} = (1 - aT) \pm jbT = r e^{\pm j\theta}$$

$$r = \sqrt{(1 - aT)^2 + (bT)^2}$$



$$r = \sqrt{\left(1 - \frac{\omega_n T}{2Q}\right)^2 + (\omega_n T)^2} \cong \sqrt{1 - \frac{\omega_n T}{Q} + (\omega_n T)^2}$$

OR

$$Q = \frac{\omega_n T}{1 - r^2 + (\omega_n T)^2} = \frac{\omega_n T}{(1+r)(1-r) + (\omega_n T)^2}$$

High Q's

$$Q \Big|_{r \rightarrow 1} \cong \frac{\omega_n T}{2(1-r) + (\omega_n T)^2} \Big|_{\omega_n T \ll 1} \cong \frac{\omega_n T}{2(1-r)}$$

then

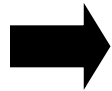
$$r = \frac{2Q - \theta}{2Q} = 1 - \frac{\theta}{2Q}$$

Also by a series expansion

$$\cos \theta \cong 1 - \frac{\theta^2}{2}$$

Thus

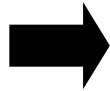
$$b_1 = 2r \cos \theta \cong -2 \left(1 - \frac{\theta}{2Q} \right) \left(1 - \frac{\theta^2}{2} \right)$$



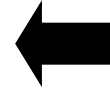
$$b_1 \cong \left(2 - \theta^2 - \frac{\theta}{Q} \right)$$



$$b_2 = r^2$$



$$b_2 \cong \left(1 - \frac{\theta}{2Q} \right)^2 = 1 + \frac{\theta^2}{4Q^2} - \frac{\theta}{Q}$$



Also see Table of mappings.

In fact if we let,

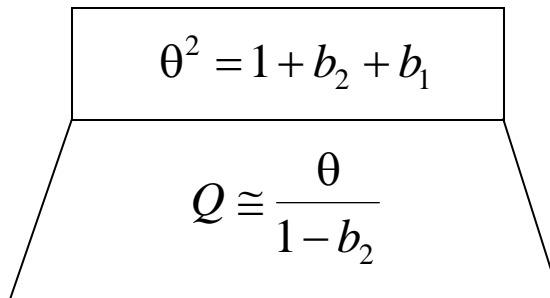
$$b_2 \cong 1 - \frac{\theta}{Q}$$

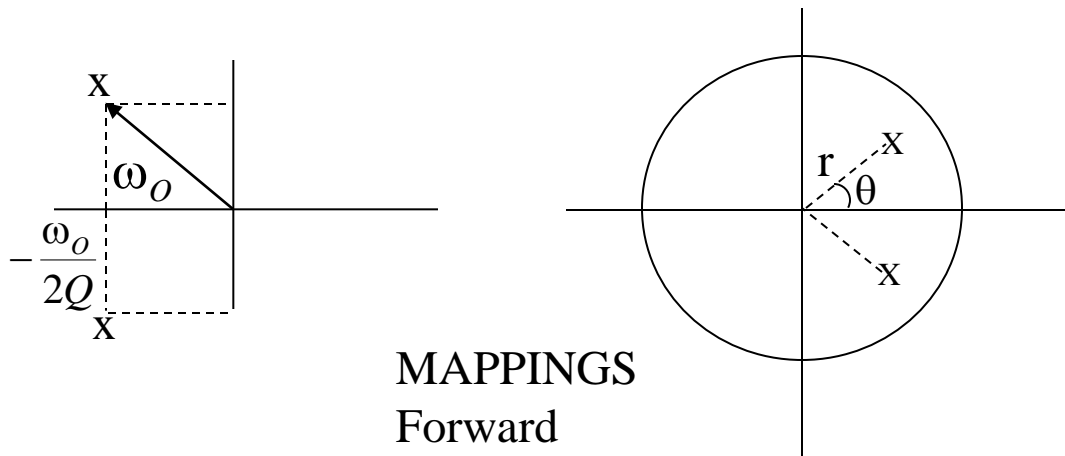
then

$$b_1 = (b_2 + 1) + \theta^2$$

Recall that high Q and high sampling rate conditions have been used. Thus

and


$$\theta^2 = 1 + b_2 + b_1$$
$$Q \cong \frac{\theta}{1 - b_2}$$



MAPPINGS

- Forward
- Backward
- Bilinear
- Impulse Invariant
- Mixed

$$H(s) = \frac{N(s)}{s^2 + \frac{\omega_o}{Q}s + \omega_o^2} \rightarrow H(z) = \frac{N(z)}{1 + b_1 z^{-1} + b_2 z^{-2}}$$

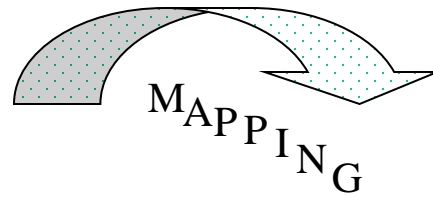
where

$$b_1 = 2r \cos \theta$$

$$b_2 = r^2$$

(See Table of Quadratic Mappings)

$$H(s) = \frac{k}{s^2 + \frac{\omega_o}{Q}s + \omega_o^2}$$



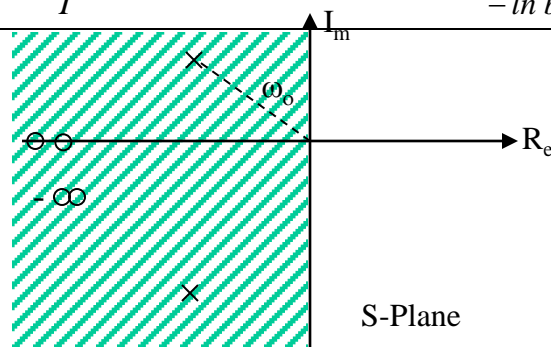
$$H(Z) = \frac{\text{Numerator}}{1 + b_1 Z^{-1} + b_2 Z^{-2}}$$

Table 2

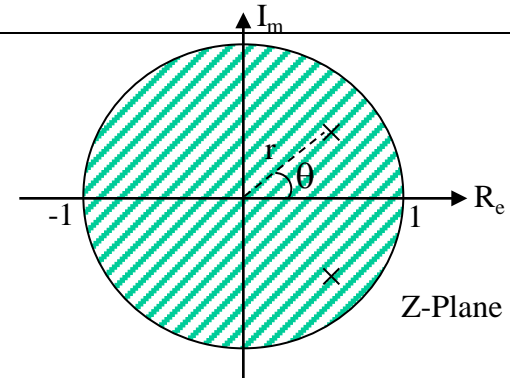
Type of Mapping	$f_o(H_Z)$	Q	b_1	b_2
Forward	$\frac{\sqrt{(1+b_1+b_2)}}{T}$	$\frac{\sqrt{(1+b_1+b_2)}}{b_1+2}$	$-2 + \frac{\omega_o T}{Q}$	$1 - \frac{\omega_o T}{Q} + (\omega_o T)^2$
Backward	$\frac{\sqrt{1+b_1+b_2}}{T \sqrt{b_2}}$	$-\frac{\sqrt{b_2(1+b_1+b_2)}}{b_1+2b_2}$	$-\frac{2 + \frac{\omega_o T}{Q}}{1 + \frac{\omega_o T}{Q} + (\omega_o T)^2}$	$\frac{1}{1 + \frac{\omega_o T}{Q} + (\omega_o T)^2}$
Bilinear $a=2/T$ $a \cong \omega_o \cot(\omega_o T/2)$	$a \sqrt{\frac{1+b_1+b_2}{1-b_1+b_2}}$	$\frac{\sqrt{(1+b_1+b_2)(1-b_1+b_2)}}{2(1-b_2)}$	$\frac{2(\omega_o^2 - a^2)}{a^2 + \frac{\omega_o}{Q}a + \omega_o^2}$	$\frac{a^2 - \frac{\omega_o}{Q}a + \omega_o^2}{a^2 + \frac{\omega_o}{Q}a + \omega_o^2}$
Impulse invariant	$\frac{\sqrt{\left(\cos^{-1} \frac{-b_1}{2\sqrt{b_2}}\right)^2 + \frac{1}{4}(\ln b_2)^2}}{T}$	$\frac{\sqrt{\left(\cos^{-1} \frac{-b_1}{2\sqrt{b_2}}\right)^2 + \frac{1}{4}(\ln b_2)^2}}{-\ln b_2}$	$-2e^{\frac{\omega_o T}{-2Q}} \cos\left(\frac{\omega_o T}{2Q} \sqrt{4Q^2 - 1}\right)$	$e^{\frac{-\omega_o T}{Q}}$

$$b_1 = -2r \cos \theta$$

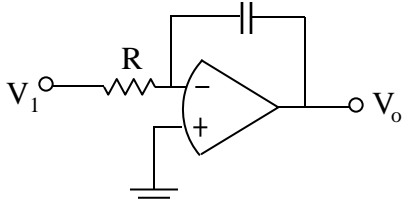
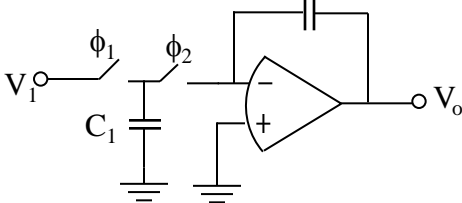
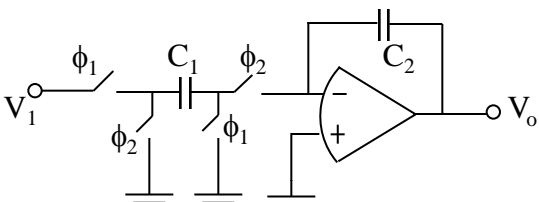
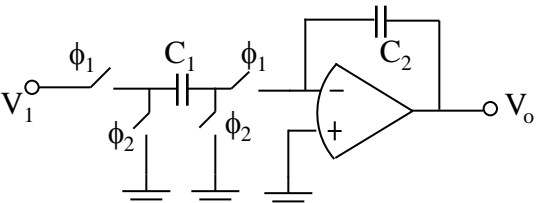
$$b_2 = r^2$$



S-Plane



Z-Plane

Type of Integrator	Magnitude, $ H(e^{j\omega T}) $	Phase, $\text{Arg } H(e^{j\omega T})$	Mapping (Equivalent)	Transfer Function
	$\frac{\omega_o}{\omega}$	$\frac{\pi}{2}$	In the S-Plane	i.e. $H(s) = -\frac{1}{sR_1C_2} = -\frac{\omega_o}{s}$
 <p>Inverting (Forward)</p>	For V_o at ϕ_2 $\frac{\omega_o}{\omega} \frac{\omega T/2}{\sin(\omega T/2)}$	$\frac{\pi}{2}$	LDI	$H(z) = -\frac{C_1}{C_2} \frac{z^{-1/2}}{1-z^{-1}}$
	For V_o at ϕ_1 $\frac{\omega_o}{\omega} \frac{\omega T/2}{\sin(\omega T/2)}$	$\frac{\pi}{2} - \frac{\omega T}{2}$	Forward	$H(z) = -\frac{C_1}{C_2} \frac{z^{-1}}{1-z^{-1}}$
 <p>Non-Inverting</p>	For V_o at ϕ_2 $\frac{\omega_o}{\omega} \frac{\omega T/2}{\sin(\omega T/2)}$	$-\frac{\pi}{2}$	LDI	$H(z) = \frac{C_1}{C_2} \frac{z^{-1/2}}{1-z^{-1}}$
	For V_o at ϕ_1 $\frac{\omega_o}{\omega} \frac{\omega T/2}{\sin(\omega T/2)}$	$-\frac{\pi}{2} - \frac{\omega T}{2}$	Forward	$H(z) = \frac{C_1}{C_2} \frac{z^{-1}}{1-z^{-1}}$
 <p>Inverting (Backward)</p>	For V_o at ϕ_1 $\frac{\omega_o}{\omega} \frac{\omega T/2}{\sin(\omega T/2)}$	$\frac{\pi}{2} + \frac{\omega T}{2}$	Backward	$H(z) = -\frac{C_1}{C_2} \frac{z^{-1/2}}{1-z^{-1}}$
	For V_o at ϕ_2 $\frac{\omega_o}{\omega} \frac{\omega T/2}{\sin(\omega T/2)}$	$\frac{\pi}{2}$	LDI	$H(z) = -\frac{C_1}{C_2} \frac{z^{-1/2}}{1-z^{-1}}$

Type of Filters in the Z-Domain

Specifications



i.e.,
consider

Use prewarping

$$H(s) = \frac{\pm H_o \omega_p^2}{s^2 + \frac{\omega_p}{Q} s + \omega_p^2}$$

LP

Apply: A backward transformation

$$s \rightarrow (1 - Z^{-1})/T, \text{ then}$$

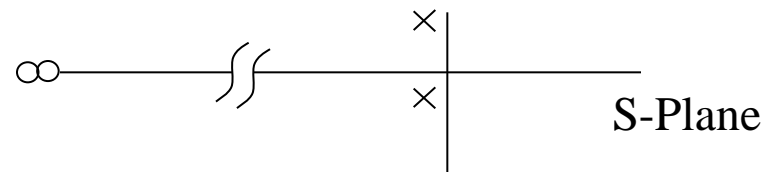
$$H(z) = \frac{\pm K_o Z^2}{r^2 - 2r \cos \theta Z + Z^2}$$

: A forward Transformation

$$H(z) = \frac{\pm K_o}{r^2 - 2r \cos \theta Z + Z^2}$$

: A Bilinear Transformation

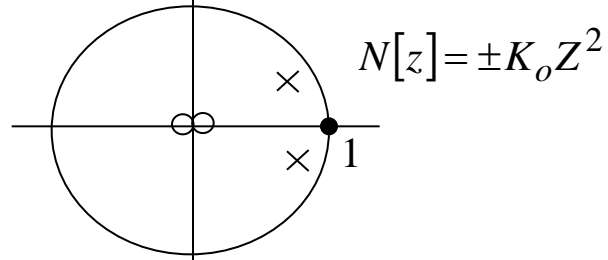
$$H(z) = \frac{K_o (1 + Z)^2}{r^2 - 2r \cos \theta Z + Z^2}$$



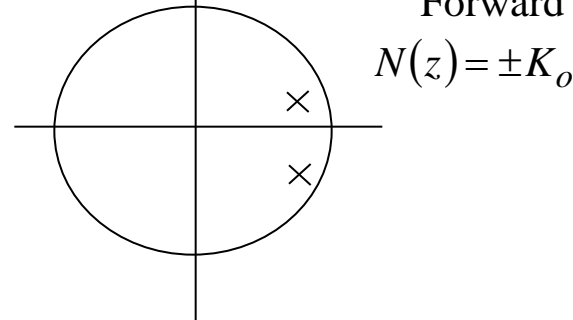
Backward

Z-Plane

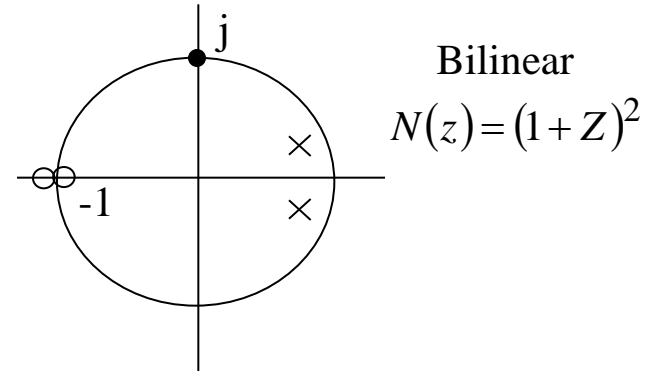
b)



c)



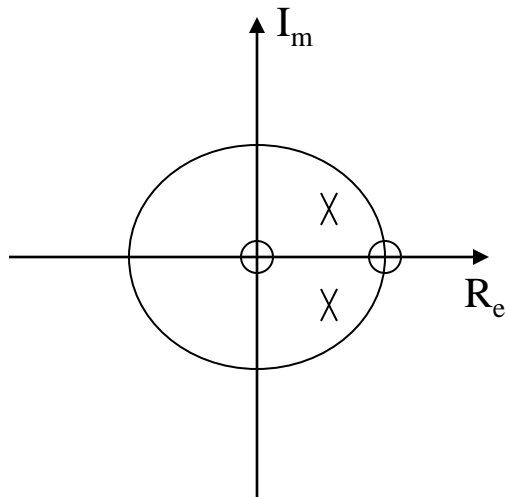
d)



Another Example.

Bandpass

$$H(s) = \frac{\pm H_o \frac{\omega_p}{Q}}{s^2 + \frac{\omega_p}{Q}s + \omega_p^2}$$



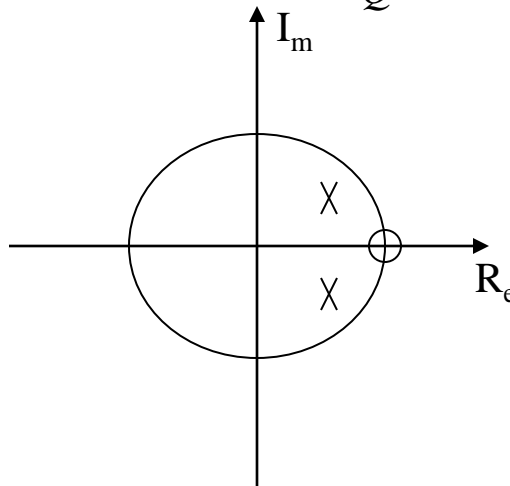
BACKWARD

i) Backward Transformation

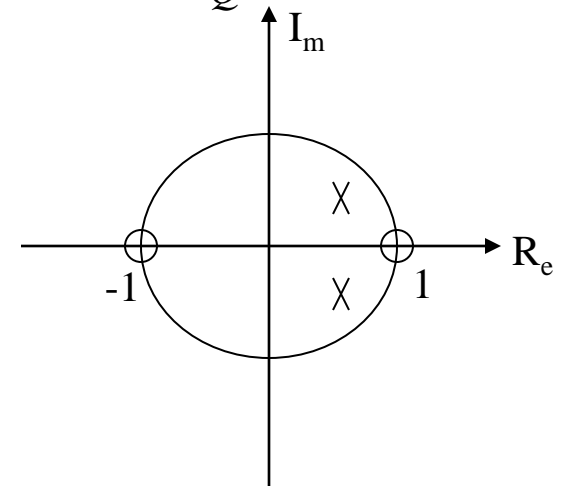
$$s \rightarrow (1 - Z^{-1})/T$$

$$H(z) = \frac{\pm H_o \frac{\omega_p}{QT} (1 - Z^{-1})}{\frac{(1 - Z^{-1})^2}{T^2}} + \frac{\omega_{pi}}{Q_i} \frac{1 - Z^{-1}}{T} + \omega_{pi}^2$$

$$H(z) = \frac{H_o \frac{\omega_p TZ(Z-1)}{Q(1 + \omega_p T/Q + (\omega_p T)^2)}}{\frac{1}{1 + \frac{\omega_p T}{Q}} + (\omega_p T)^2 - Z \frac{Z + \omega_p T}{1 + \frac{\omega_p T}{Q} + (\omega_p T)^2} + Z^2}$$



FORWARD



BILINEAR

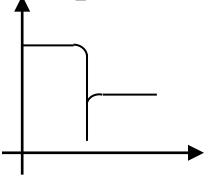
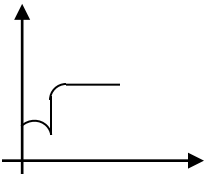
TYPE OF FILTER	NUMERATOR
LP 20 (bilinear)	$K_o(1 + z^{-1})^2$
LP 02 (forward	$K_o z^{-2}$
LP11	$K_o z^{-1}(1 + z^{-1})$
LP 10	$K_o(1 + z^{-1})$
LP 01	$K_o z^{-1}$
BP 20 (bilinear)	$K_o(1 - z^{-1})(1 + z^{-1})$
BP 01 (forward)	$K_o z^{-1}(1 - z^{-1})$
BP 00 (backward)	$K_o(1 - z^{-1})$
HP	$K_o(1 - z^{-1})^2$
Notch (symmetric)	$K_o[1 + 2(1 - \cos \theta_o)z^{-1} + z^{-2}]$
Low pass Notch	where $\cos \theta_o = \frac{2r \cos \theta}{1 + r^2}$
	θ_o and θ are the angles of the zero and pole locations, respectively
High pass Notch	Same as above with $\cos \theta_o > \frac{2r \cos \theta}{1 + r^2}$
	Same as above with $\cos \theta_o < \frac{2r \cos \theta}{1 + r^2}$
All pass	$K_o(r^2 - 2r \cos \theta z^{-1} + z^{-2})$

Table Numerators of second-order transfer functions in the z-plane

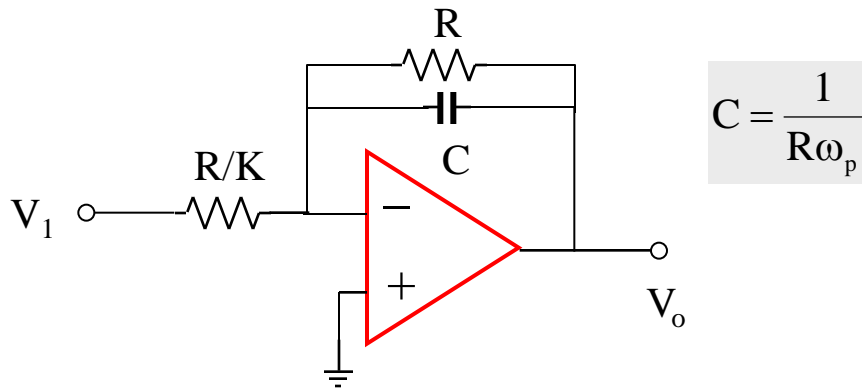
$$D(s) = 1 - 2r \cos \theta z^{-1} + r^2 z^{-2}$$

Examples of Basic Implementations

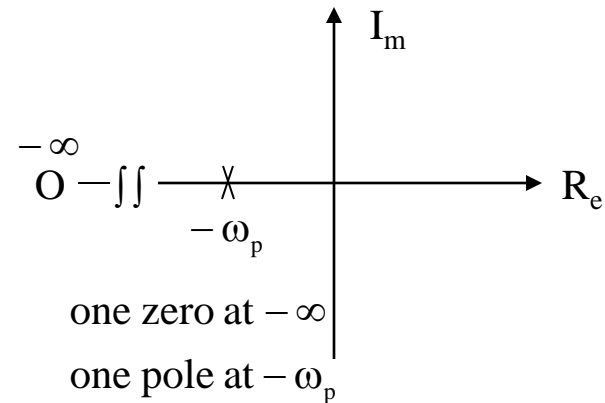
- First-order Low Pass (Continuous-Time)

$$H(s) = \frac{K}{1 + s/\omega_p} ; \quad \frac{V_o(s)}{V_{in}(s)} = \frac{K\omega_p}{s + \omega_p} ; \quad \frac{dv_o(t)}{dt} + \omega_p v_o(t) = K\omega_p v_{in}(t)$$

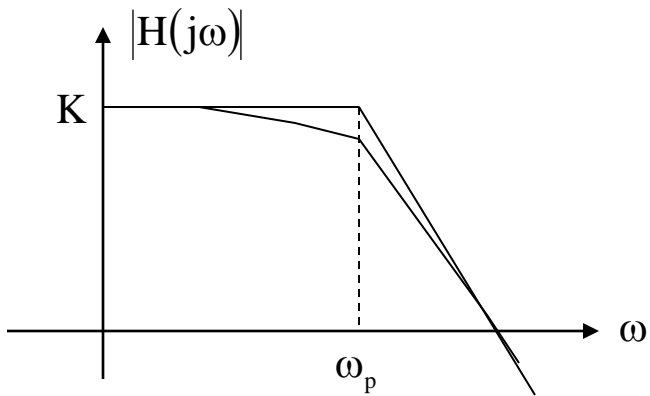
Continuous-time



s-plane

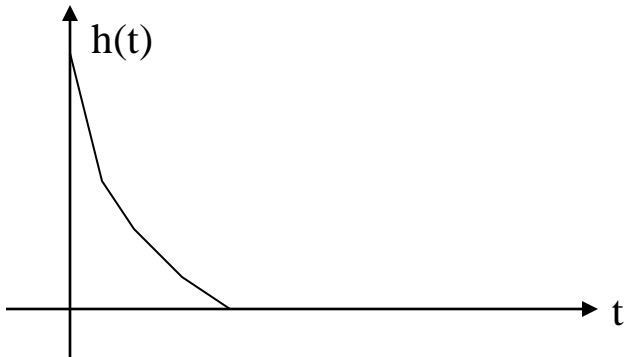


Stability implies to have poles in the left-half plane (LHP)



$$H(s) \Big|_{s=j\omega} = H(j\omega) = \frac{-K}{1+j\omega/\omega_p} = \frac{K}{[1+(\omega/\omega_p)^2]^{1/2}} \angle -\tan^{-1} \omega/\omega_p$$

Frequency Response



$$h(t) = K\omega_p e^{-\omega_p t}$$

Impulse Response

- First-Order Low-Pass (Discrete-Time)
Sampled-Data (i.e., Switched-Capacitor)

$$H(z) = \frac{V_o(z)}{V_{in}(z)} = \frac{K_1}{1 - z^{-1}/\omega_{pl}} = \frac{K_1 \omega_{pl}}{\omega_{pl} - z^{-1}} ;$$

$$[\omega_{pl} - z^{-1}]V_o(z) = K_1 \omega_{pl} V_{in}(z)$$

$$\omega_{pl} V_o(z) - z^{-1}V_o(z) = K_1 \omega_{pl} V_{in}(z)$$

Taking the inverse z-transform

$$\omega_{pl} v_o(nT) - v_o(n-1)T = K_1 \omega_{pl} v_{in}(nT)$$

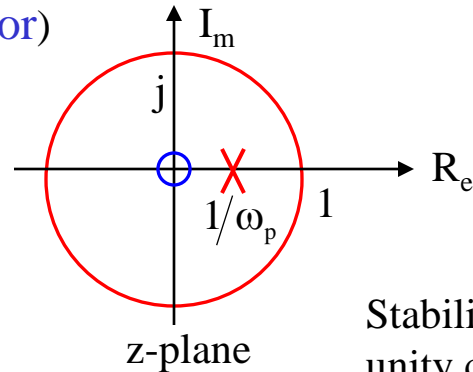
$$v_o(n-1)T - \omega_{pl} v_o(nT) = -K_1 \omega_{pl} v_{in}(nT)$$

This difference equation represents the first-order low pass in the Z-domain.

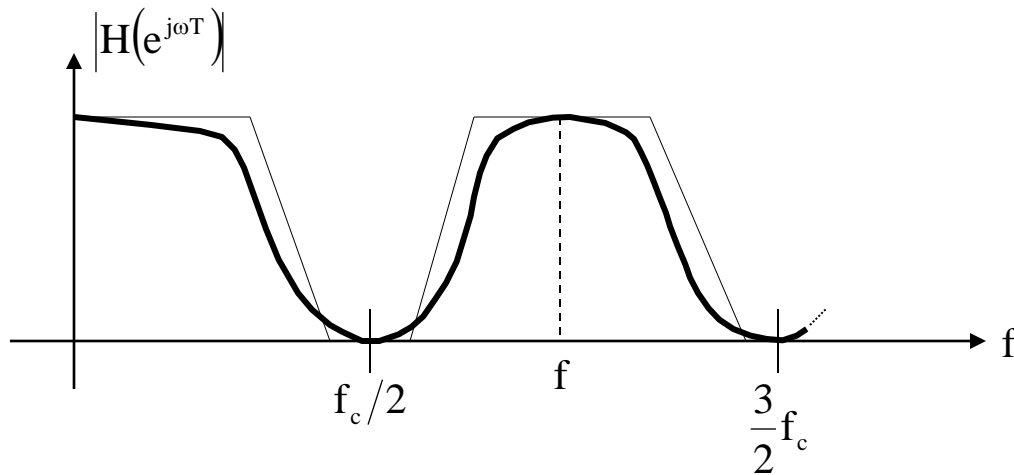
Note that

$$Z^{-1}X_o(z) \Rightarrow x_o(n-1)T$$

$$Z^{-b}X_o(z) \Rightarrow x_o(n-b)T$$



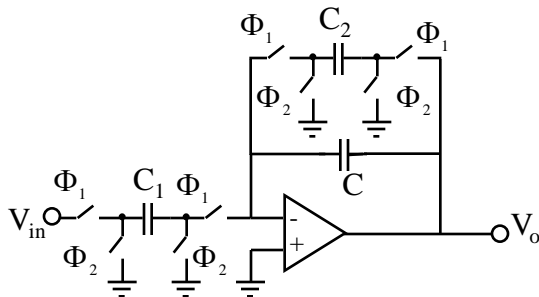
Stability implies poles inside
unity circle



Frequency Response (A periodic transfer function!)

$$H(z) = \frac{K_1 \omega_{p1} Z}{\omega_{p1} Z - 1} \bigg|_{z = e^{j\omega}} = \frac{K_1 \omega_{p1} (\cos \omega T + j \sin \omega T)}{(\omega_{p1} \cos \omega T - 1) + j \sin \omega T}$$

$$H(e^{j\omega}) = \frac{K_1 \omega_{p1}}{\{(\omega_{p1} \cos \omega T - 1)^2 + \sin^2 \omega T\}^{1/2}} \left[\overbrace{\omega T - \tan^{-1} \frac{\sin \omega T}{\omega_{p1} \cos \omega T - 1}}^{\text{phase}} \right]$$



where

$$K_1 \omega_{p1} = \frac{C_1}{C}$$

$$\omega_{p1} = 1 + \frac{C_2}{C}$$

What are the relationships between the s-plane and z-plane?

- There are a number of mappings between the two planes
- The most popular and exact is the bilinear mapping.

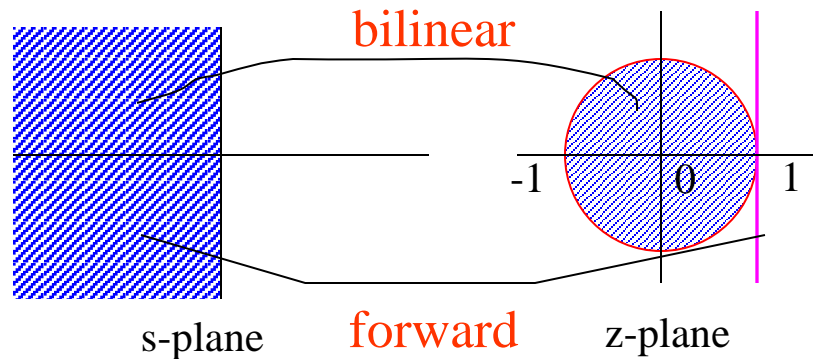
$$s = \frac{2}{T} \frac{1 - z^{-1}}{1 + z^{-1}} = \frac{2}{T} \frac{z - 1}{z + 1} \quad \text{or} \quad z = \frac{1 + (T/2)s}{1 - (T/2)s}$$

- The commonly used for high-sampling rate is:

$$s = \frac{1}{T} \frac{1 - z^{-1}}{z^{-1}} = \frac{1}{T} \frac{z - 1}{1}$$

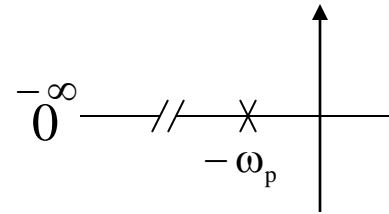
or

$$z = sT + 1$$

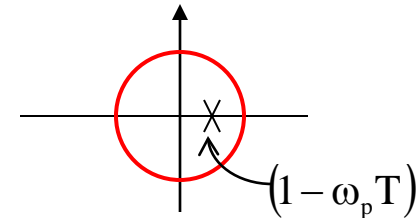


Example. Approximate a first-order low-pass continuous-time to a discrete-time low-pass under high-sampling conditions. ($f_s/f \gg 1$)

$$H(s) = \frac{K}{1 + s/\omega_p} = \frac{K\omega_p}{s + \omega_p} \quad \left| \quad s = \frac{1}{T}(z - 1) \right.$$



$$H(z) = \frac{K\omega_p T}{z - 1 + \omega_p T} = \frac{K\omega_p T}{z - (1 - \omega_p T)}$$



What is the 3dB cut-off frequency in both domains?

$$f_{3dB} = \omega_p \quad \text{CT}$$

For $H(z)$ is more complex than the computation of f_{3dB} .

$$H(e^{j\omega T}) = \frac{K\omega_p T}{\cos \omega T + j \sin \omega T - (1 - \omega_p T)}$$

$$\left| H(e^{j\omega T}) \right| = \left| H(e^{j\omega_{3dB} T}) \right| / \sqrt{2}$$

$\omega = \omega_{3dB}$

$$\omega_{3dB} = \frac{1}{T} \cos^{-1} \frac{2 - 2\omega_p T - (\omega_p T)^2}{2(1 - \omega_p T)}$$

Numerical example. Low-Pass (First Order)

$$\omega_p = 2\pi \times 10^3 \text{ r/s}$$

$$f_c = f_s = 20\text{KHz} \quad ; \quad T = 1/f_s \quad ; \quad \omega_p T = \frac{2\pi \times 10^3}{20 \times 10^3} = 0.1\pi$$

$$K = 2$$

For the continuous-time

$$f_{3\text{dB}} = 1\text{KHz}$$

$$H(j\omega) \Big|_{\omega = \omega_{3\text{dB}}} = \frac{2}{\sqrt{2}} = 1.4142$$

For the sample-data

$$f_{3\text{dB}} = \frac{f_s}{2\pi} \cos^{-1} \frac{2 - 2\omega_p T - (\omega_p T)^2}{2(1 - \omega_p T)}$$

$$f_{3\text{dB}} \cong 1.16\text{KHz}$$

Switched - Capacitor Filters

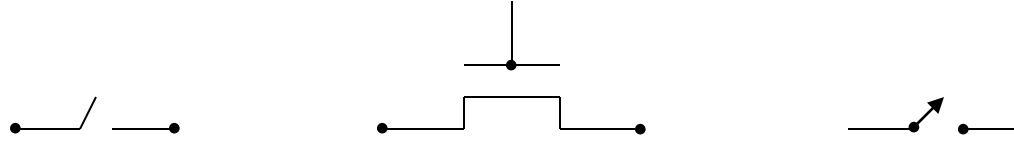
- Use Z-transform mathematics
- Are described by difference equations
- Time constants are proportional to capacitor ratios
- Best implementation for audio applications
- Originally the basic goal was to replace resistors by switches and capacitors
- This design approach is one of the most popular in the industry

SC Advantages

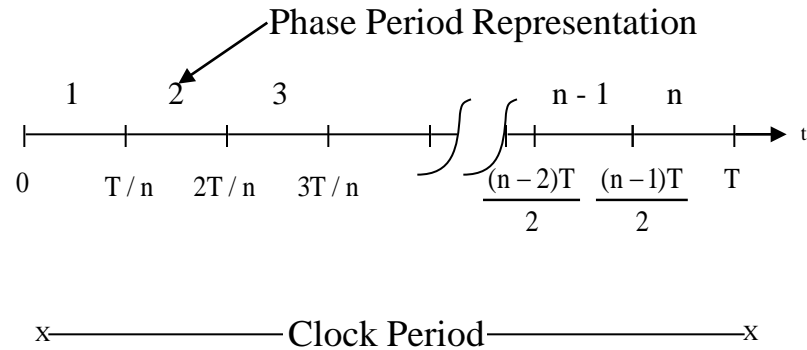
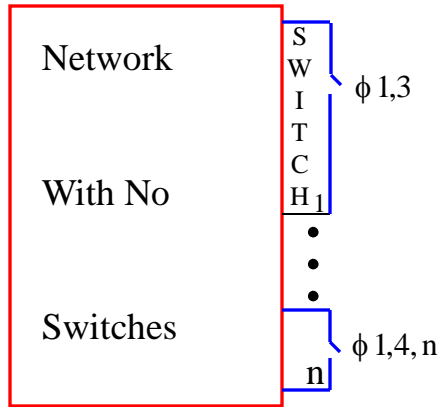
- Reduced silicon area
- Good accuracy. Time constants are implemented with capacitor ratios ($\sim 0.1\%$)
- Don't require a low-impedance output stage (OTA's could be used)
- Could be implemented using digital circuit process technology
- Very useful in the audio range

NOTATION

Switches

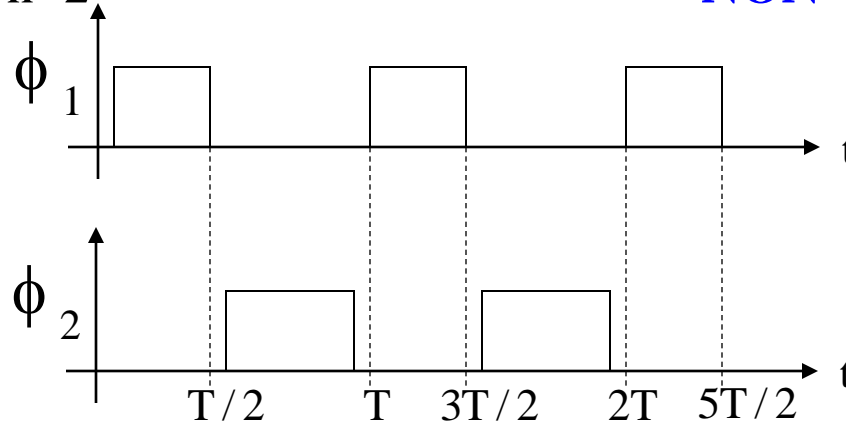


Representation

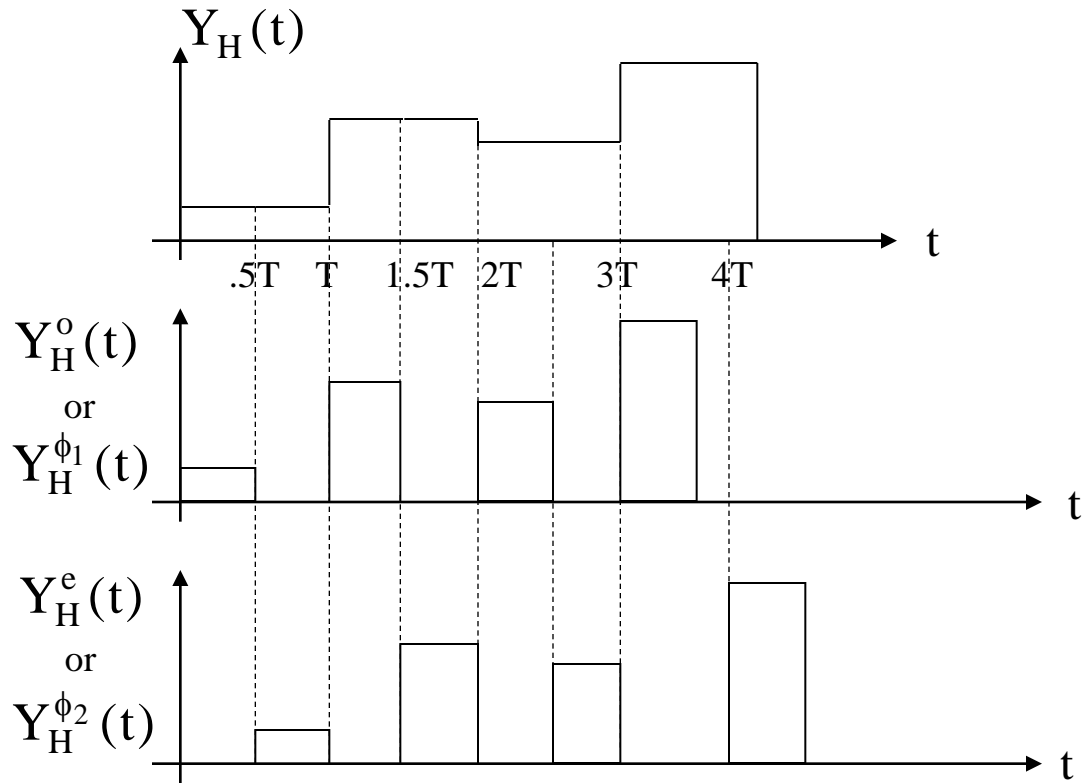


EXAMPLE $n=2$

NON-OVERLAPPING



PHASE PERIODS OF A CONVENTIONAL CLOCK SEQUENCE

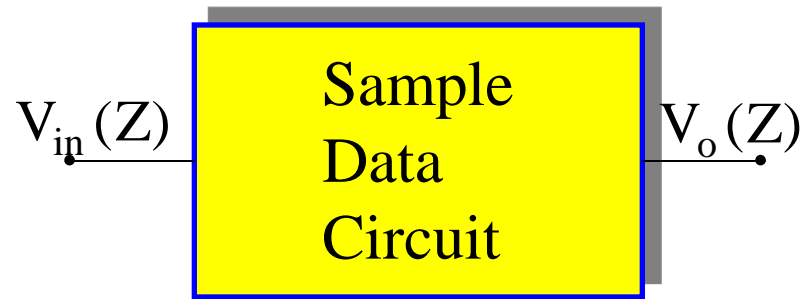


S/H and its respective odd and even components

$$y_H(t) = y_H^o(t) + y_H^e(t)$$

or

$$Y_H(Z) = Y_H^o(Z) + Y_H^e(Z)$$



$$V_{in}(Z) = V_{in}^e(Z) + V_{in}^o(Z)$$

$$V_o(Z) = V_o^e(Z) + V_o^o(Z)$$

$$H(Z) = \frac{V_o(Z)}{V_{in}(Z)}$$

CONVENTIONAL NOTATION FOR TRANSFER FUNCTION IS:

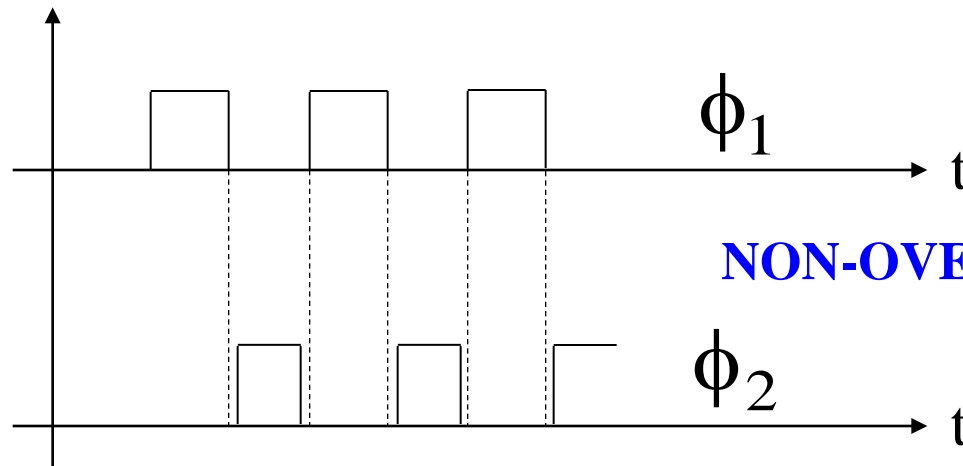
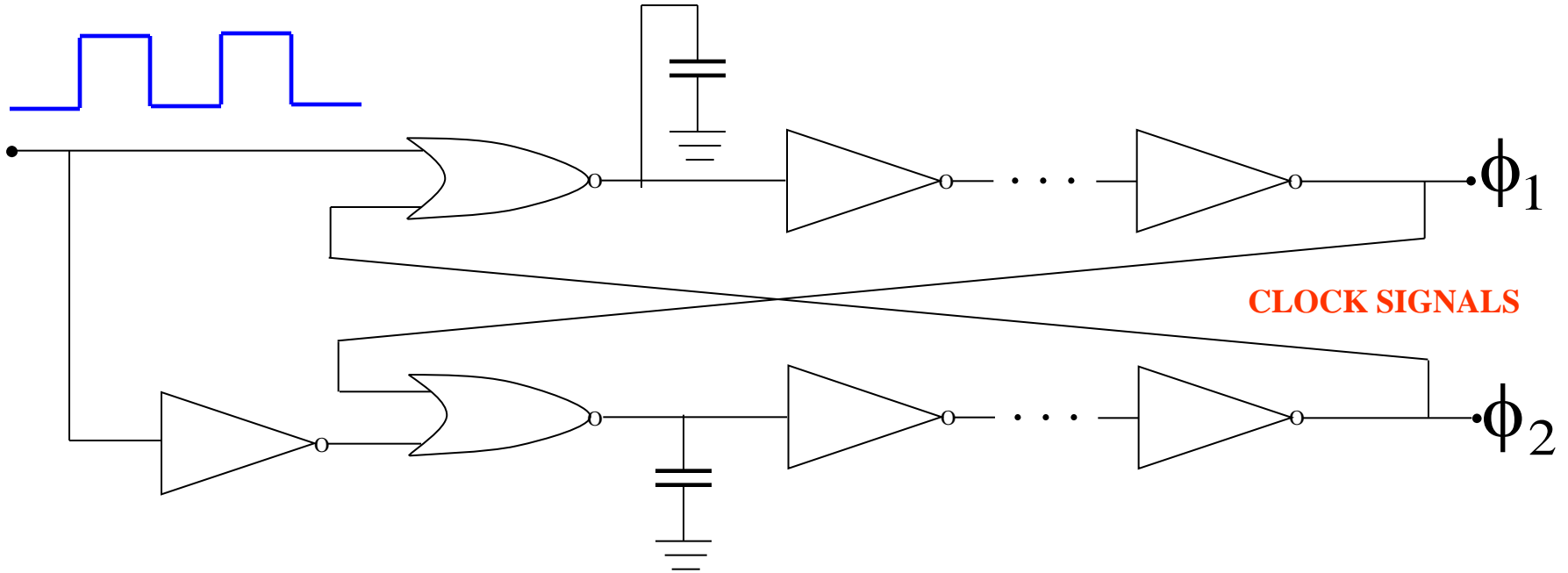
$$H^{ij}(Z) = \frac{V_o^j(Z)}{V_{in}^i(Z)}$$

i and j can be either “e” or “o”. i.e.,

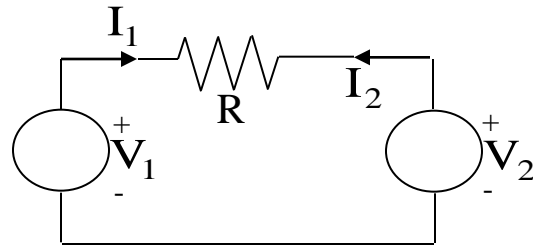
$$H^{eo}(Z) = \frac{V_o^o(Z)}{V_{in}^e(Z)}$$

TWO-PHASE CLOCK GENERATOR

SINGLE PHASE



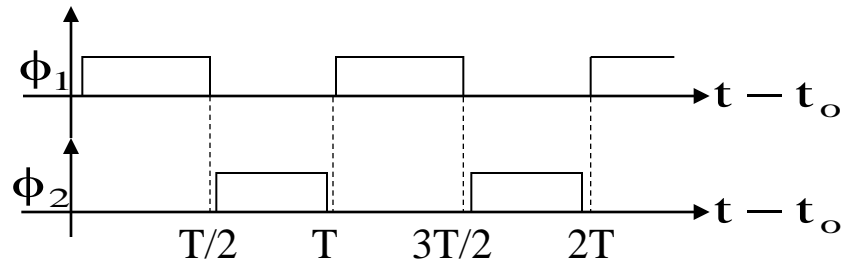
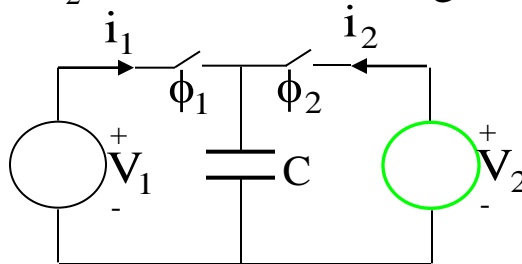
SWITCHED-CAPACITOR EQUIVALENT RESISTOR



Continuous (Conventional) Resistor

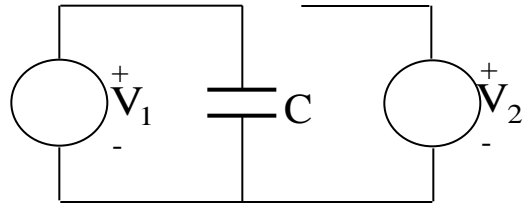
$$R = \frac{V_1 - V_2}{I_1} = \frac{V_2 - V_1}{I_2} \Rightarrow I_2 = \frac{V_2 - V_1}{R}$$

V_1 and V_2 are constant voltage sources



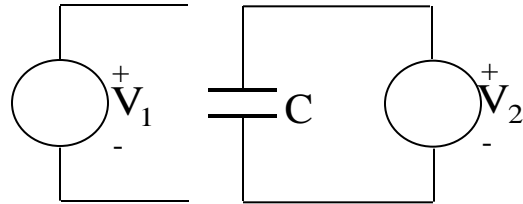
At time $t = t_0$ we apply the clocks.

At ϕ_1



$$Q(t_0 + T/2) = CV_1$$

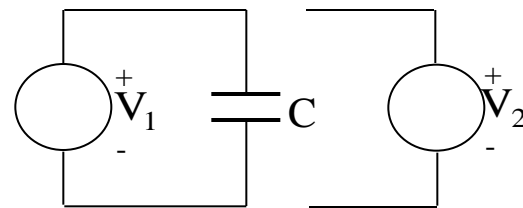
At ϕ_2



$$Q(t_0 + T) = CV_2 - CV_1$$

$$Q(t_0 + T) = C(V_2 - V_1)$$

For the next period, at ϕ_1



$$Q(t_0 + \frac{3T}{2}) = C(V_1 - V_2)$$

$$i = \frac{dQ}{dt}$$

$$Q_1 = \int_{t_0 + T}^{t_0 + 3T/2} i_1(t) dt = \int_{t_0 + T/2}^{t_0 + 3T/2} i_1(t) dt$$

The average current I_1 (aver) becomes

$$I_1 \text{ (aver)} = \frac{Q(t_o + \frac{3T}{2})}{T}$$

$$I_1 \text{ (aver)} = \frac{1}{T} \int_{t_o + 3T/2}^{t_o + 3T/2} i_1(t) dt$$

$$I_1 \text{ (aver)} = \frac{C(V_1 - V_2)}{T} = \frac{\Delta Q}{\Delta t}$$

or

$$\frac{T}{C} = \frac{V_1 - V_2}{I_1 \text{ (aver)}}$$

Comparing with the continuous time resistor

$$\longrightarrow R_{eq} = \frac{T}{C} = \frac{1}{f_C C} \longleftarrow$$

EXAMPLE. $R = 250K\Omega$, $f_C = 128KHz$

$$\left(\frac{A_R}{A_C} \cong 32 \right) \longleftarrow C = \frac{1}{R_{eq} f_C} = \frac{1}{250 \times 128 \times 10^6} = 31.25pF$$

	Continuous R	“SC-R”	
AREA	5,776	178.57	mils ²

ACCURACY OF TIME CONSTANTS

● CONTINUOUS TIME:

$$\tau = R_1 C_2$$

$$\frac{d\tau}{\tau} = \frac{dR_1}{R_1} + \frac{dC_2}{C_2} \rightarrow \pm 40\% \rightarrow \pm 65\%$$

where $\frac{d\tau}{\tau}$ is interpreted as the accuracy of τ .

TEMPERATURE DEPENDANT!

● DISCRETE TIME:

$$\tau = \frac{1}{f_C C_1} \cdot C_2 = T \left(\frac{C_2}{C_1} \right)$$

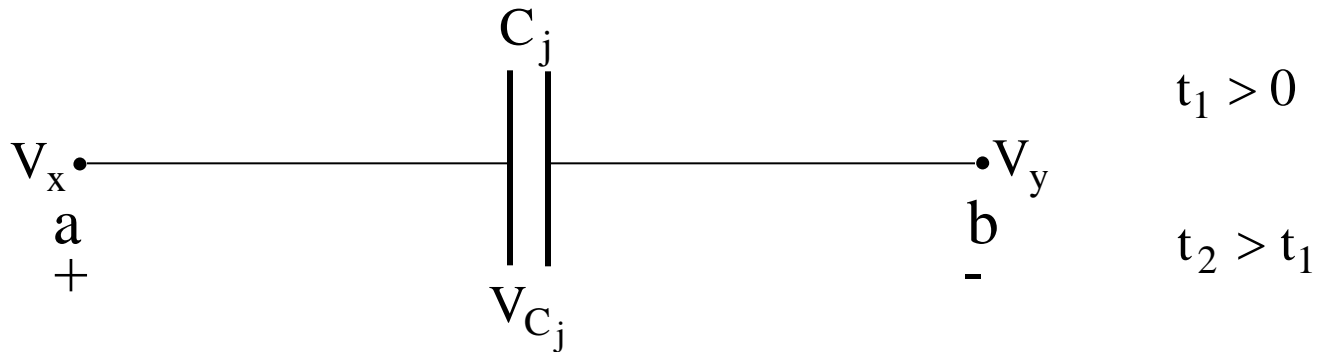
$$\frac{d\tau}{\tau} = \frac{dT}{T} + \frac{dC_2}{C_2} - \frac{dC_1}{C_1}$$

$$\frac{d\tau}{\tau} \cong \frac{dC_2}{C_2} - \frac{dC_1}{C_1} \rightarrow 0.1\%$$

KC_HL KIRCHOFF “CHARGE” LAW

$$\sum_{i=1}^n Q_i = 0$$

$$Q_j = C_j V_{C_j}$$



at $t = t_2$

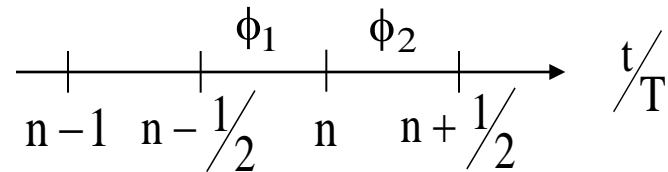
$$V_{C_j} = V_x(t_2) - V_y(t_2) - (V_x(t_1) - V_y(t_1))$$

Voltage across the capacitor $V_{C_j} =$ voltage difference (at present time) across the capacitor minus initial condition (at past time).

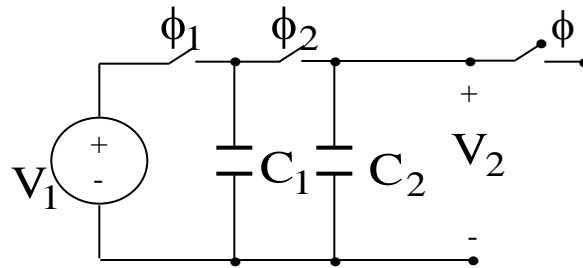
CHARGE CONSERVATION

ANALYSIS METHOD

$$q_L(n) = q_M(n-1) + \hat{q}_C(n)$$



EXAMPLE



for ϕ_2

$$C_2[V_2^e(n) - V_2^o(n - 1/2)] + C_1[V_2^e(n) - V_1^o(n - 1/2)] = 0$$

for ϕ_1

$$C_2[V_2^o(n - 1/2) - V_2^e(n - 1)] = 0 \Rightarrow V_2^o(n - 1/2) = V_2^e(n - 1)$$

THEN

$$C_1 v_2^0(n) + C_2 v_2^0(n) = C_2 v_2^0(n-1) + C_1 v_1^0(n-1)$$

$$(C_1 + C_2) V_2^0(Z) - C_2 Z^{-1} V_2^0(Z) = C_1 V_1^0(Z) Z^{-1}$$

$$H^{oo}(Z) = \frac{\frac{C_1}{C_1} Z^{-1}}{\frac{C_1 + C_2}{C_1} - \frac{C_2}{C_1} Z^{-1}} = \frac{Z^{-1}}{1 + \alpha - \alpha Z^{-1}}$$

$$H^{oo}(Z) = \frac{\frac{C_1}{C_1} Z^{-1}}{\frac{C_1 + C_2}{C_1} - \frac{C_2}{C_1} Z^{-1}} = \frac{Z^{-1}}{1 + \alpha - \alpha Z^{-1}}$$

$$H^{oo}(Z) = \frac{V_o^0(Z)}{V_{in}^0(Z)} = \frac{V_o^e(Z) Z^{-1/2}}{V_{in}^0(Z)}$$

$$V_2^e(n) = V_2^e(n + 1/2)$$

$$C_2[V_2^0(n + 1/2) - V_2^0(n - 1/2)] + C_1[V_2^0(n + 1/2) - V_1^0(n - 1/2)]$$

$$C_2[V_2^0(Z)][Z^{1/2} - Z^{-1/2}] + C_1V_2^0(Z)Z^{1/2} = C_1V_1^0(Z)Z^{-1/2}$$

$$C_2(1 - Z^{-1})V_2^0(Z) + C_1V_2^0(Z) = C_1V_1^0(Z)Z^{-1/2}$$

$$\frac{V_2^0(Z)}{V_1^0(Z)} = \frac{C_1Z^{-1}}{C_2(1 - Z^{-1}) + C_1} = \frac{C_1Z^{-1}}{C_2 + C_1 - C_2Z^{-1}}$$

$$\frac{V_2^0(Z)}{V_1^0(Z)} = \frac{C_1}{(C_2 + C_1)Z - C_2} \bigg|_{Z \cong 1 + ST} = \frac{C_1}{(C_2 + C_1) + (C_2 + C_1)ST - C_2}$$

For high-sampling rate $\omega T \ll 1$

$$\frac{V_2^0(Z)}{V_1^0(Z)} = \frac{C_1}{C_1 + (C_2 + C_1)ST} = \frac{1}{1 + \frac{(C_2 + C_1)ST}{C_1}} = \frac{1}{1 + \frac{5}{\frac{C_1}{(C_2 + C_1)T}}}$$

$$f_{3dB} \cong \frac{1}{2\pi} \cdot \frac{C_1}{(C_2 + C_1)T} = \frac{1}{2\pi} \cdot \frac{f_c}{1 + \frac{C_2}{C_1}}$$

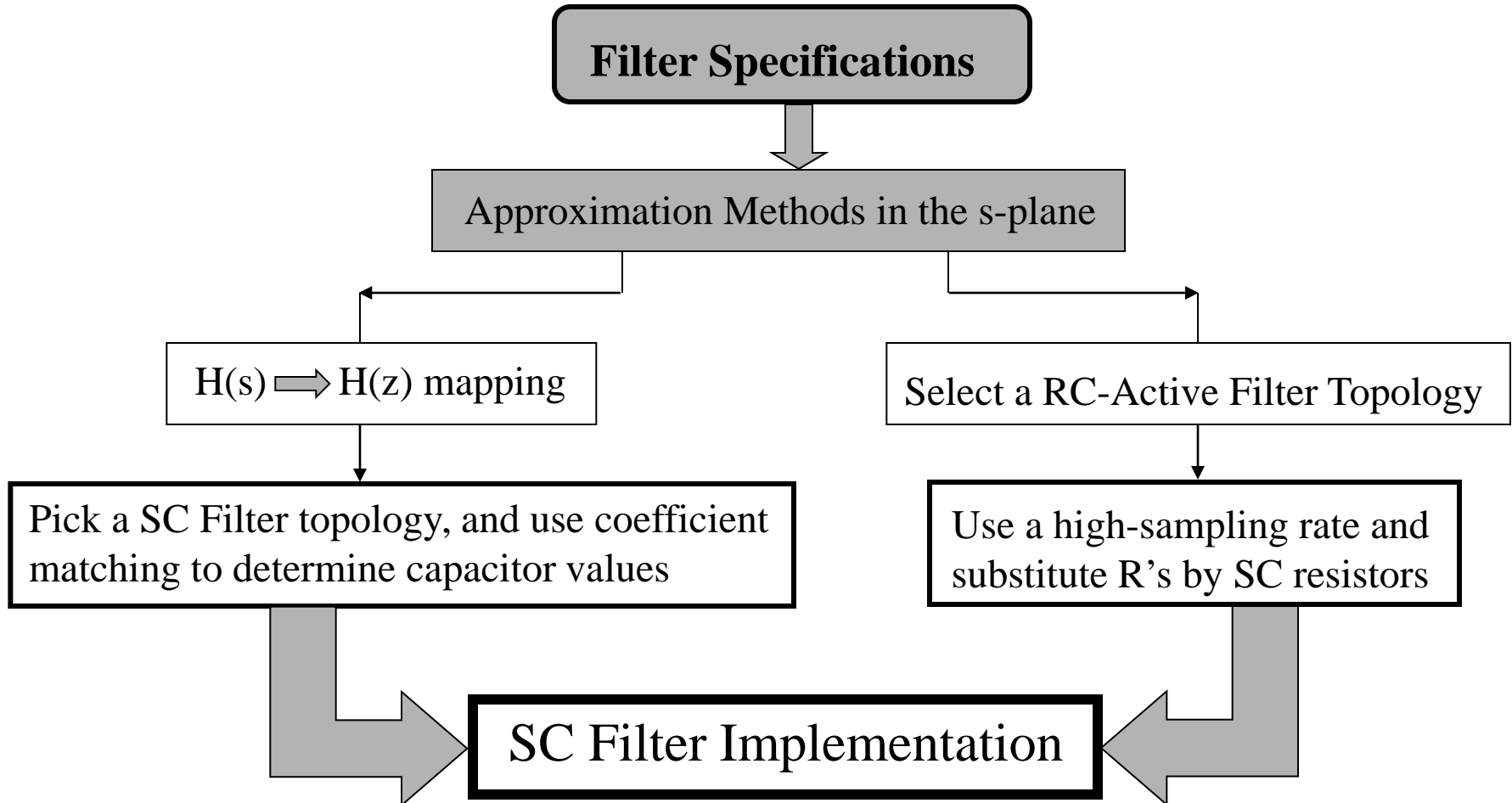
Aside:

$$f_{3dB} \cong \frac{1}{2\pi} \cdot \frac{1}{R_1C_2} \cong \frac{1}{2\pi} \cdot \frac{1}{\frac{T}{C_2}} = \frac{1}{2\pi} \cdot \frac{f_c}{\frac{C_2}{C_1}}$$

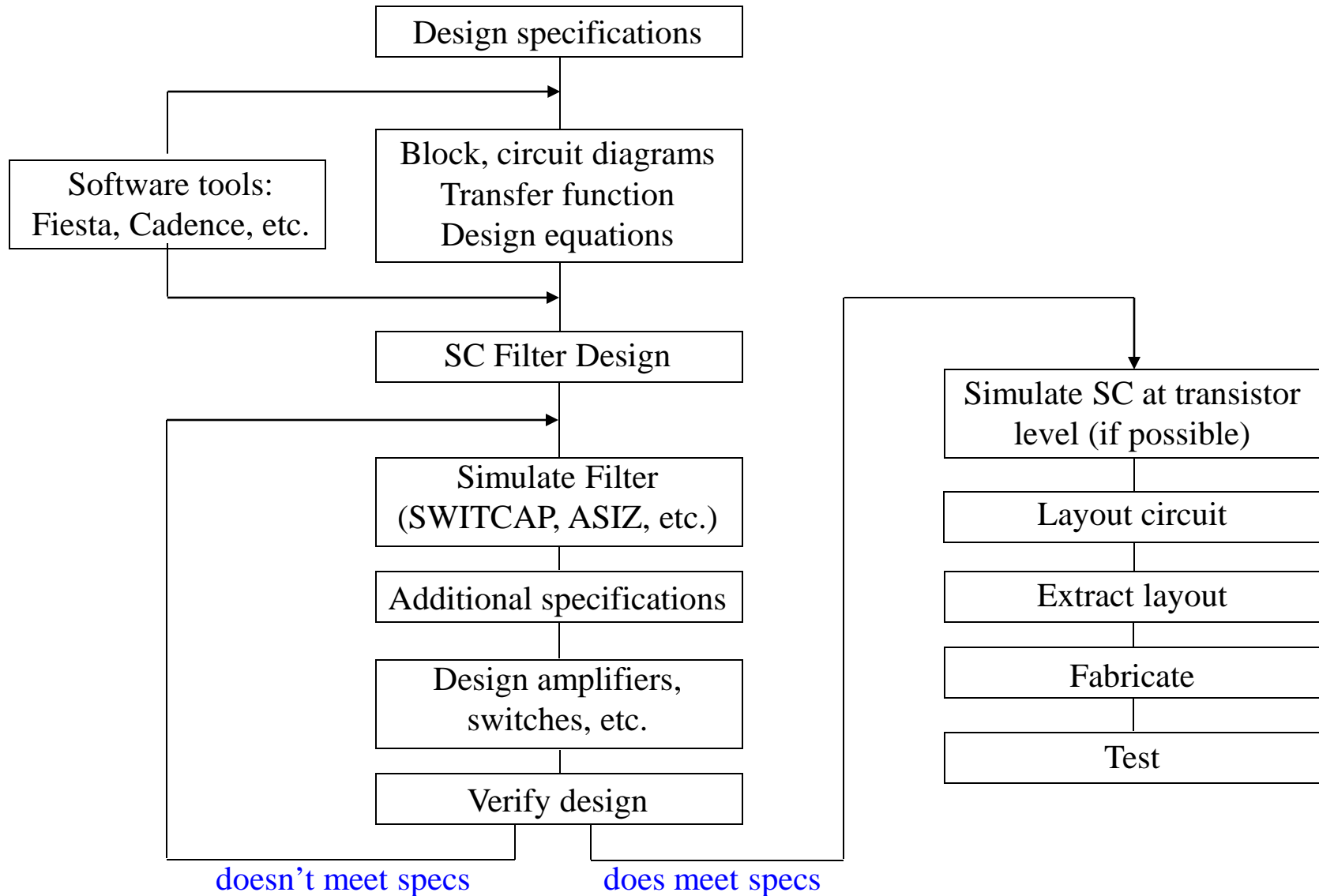
Switched-Capacitor (SC) Filters

How to design SC Filters ?

- Two basic approaches



Systematic SC Filter Design



What are the advantages and disadvantages of the two filter design procedures ?

- Mapping Techniques

- + Systematic and well documented (see Matlab)
- + It can use any sampling rate, including the (minimum) Nyquist rate.
- Difficult to implement by hand calculation

- Transforming R to SC resistors

- + It is, conceptually, easier to follow for analog designer
- + Its design is straightforward
- Yields not an optimal design for area, imposed high sampling rate involves larger capacitor ratios.

Switched-Capacitor Filters Components

Basic Elements

Capacitors

- polysilicon
- metal1-metal2
- parasitics, clock-feedthrough

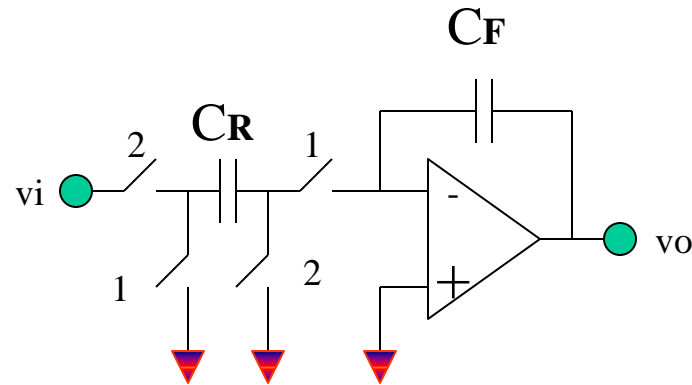
Switches

- N-MOS
- Transmission gates
- Noise and on-resistance

OTAS

- DC-gain
- settling time (GBW, phase margin)
- noise

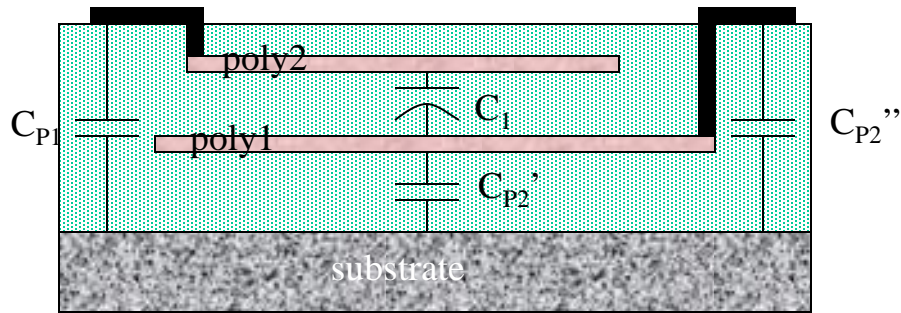
Non-overlapping clock phases



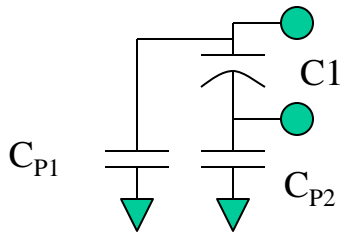
Typical switched-capacitor integrator

Switched-Capacitor Filters: CAPACITORS

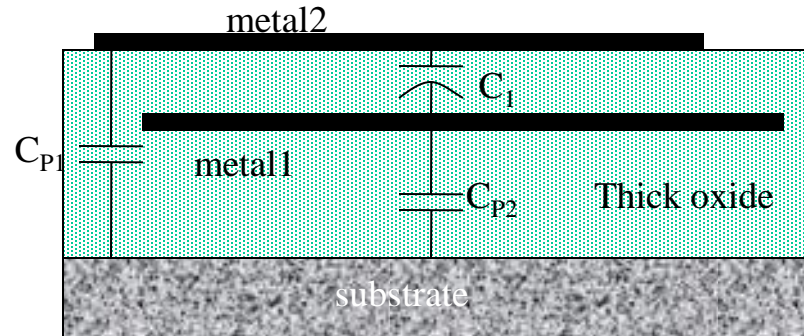
polysilicon



C_{P1} , $C_{P2''}$ are very small (1-5 % of C_1)
 $C_{P2'}$ is around 10-30 % of C_1



metal1-metal2

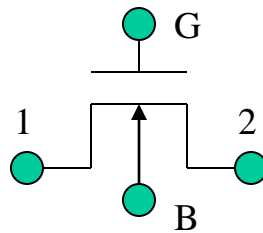


SWITCHES

MOS transistors biased in triode region, $\sim 100\text{-}100\text{ k}\Omega$

Off resistances $\sim \text{G } \Omega$

N-MOS

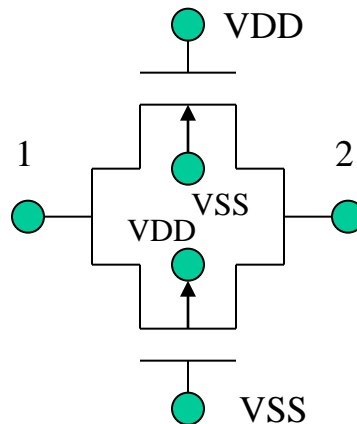


- $V_1, V_2 < V_G - V_T$ ($V_T \sim 1\text{ V}$)

- Resistance $R_s \cong \frac{L}{\mu_n C_{ox} W (V_{GS} - V_T)}$

- Small resistance for low voltages but high resistance for large voltages

- Transmission gates



- Rail to rail operation, provided that V_{DD} and $V_{SS} > V_T$

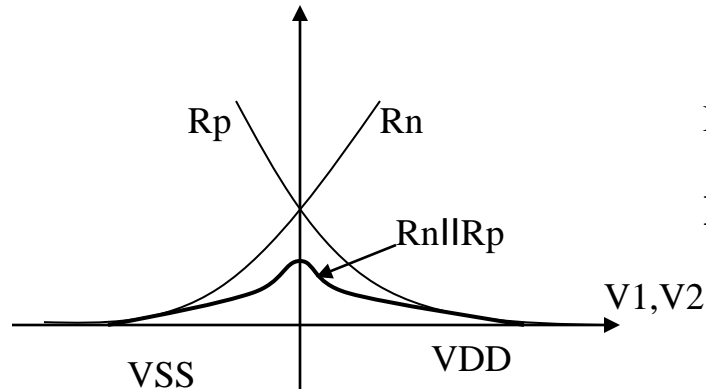
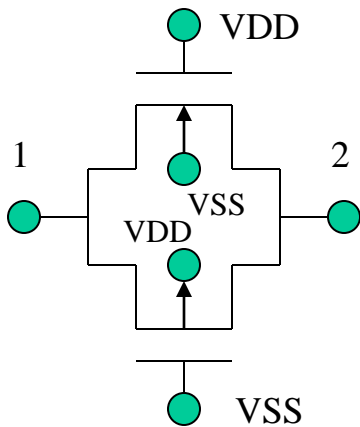
- Smaller resistance (fast response)

- 2 clock phases

- More parasitics

Switched-Capacitor Filters: SWITCHES

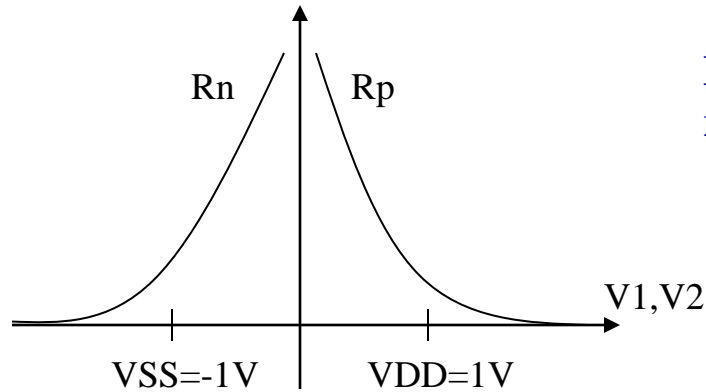
Transmission gates



$$R_n \cong \frac{L}{\mu_n C_{ox} W (V_{DD} - V_1 - V_T)}$$

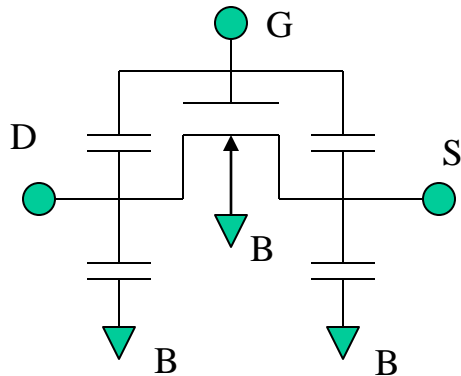
For $V_1, V_2=0, W/L=1, \mu_n C_{ox}=10^{-4}$

$$R_n = 10k / (V_{DD} - 1)$$



If $V_{DD}, |V_{SS}| < V_T$, the resistance is extremely high!!!

Switches (continues)



• **CGS and CGD** are Linear polysilicon capacitors, introduce offset voltage.

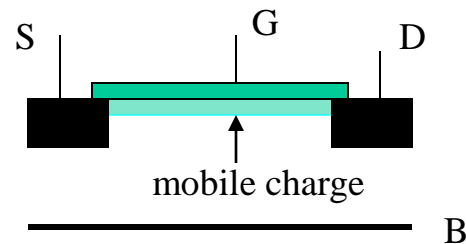
• **C_{SB,DB}** are non-linear capacitors, introduce harmonic distortion components.

• **Mobile charge** introduces gain errors and harmonic distortion components.

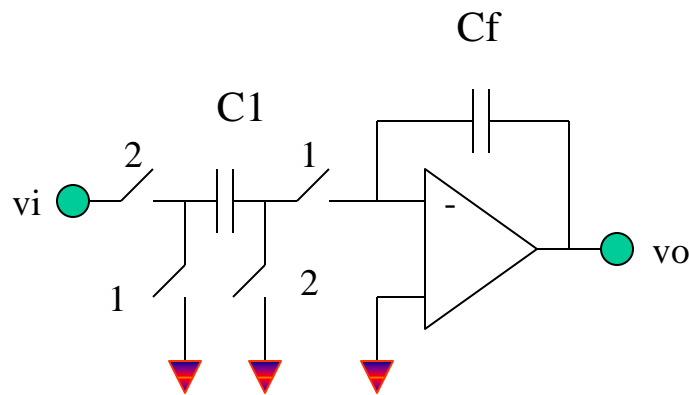
$$C_{GS,GD} = C_{OX} W L_D + \frac{1}{2} C_{OX} W L$$

$$C_{BS,BD} = \frac{1}{2} \frac{C_{j0} W L}{\sqrt{1 + \frac{2\phi_F}{V_{SB}}}} + C_{jBS}$$

$$\text{mobile-charge} = C_{OX} W L (V_{GS} - V_T)$$



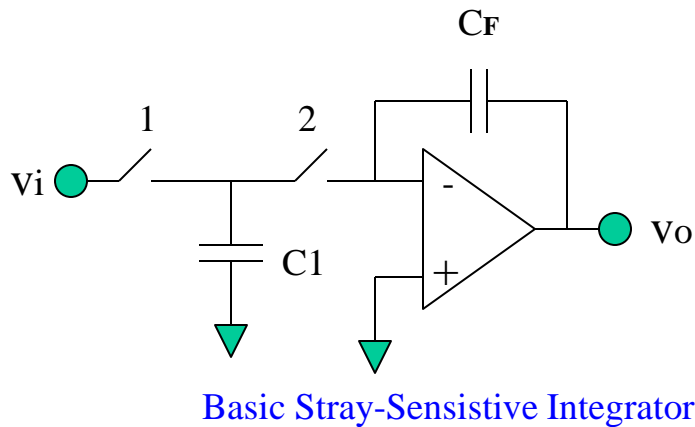
Operational Transconductance Amplifier



PRACTICAL CONSIDERATIONS:

- **DC-gain.** The inverting input is not a real virtual ground. $v_{\text{invert}} = v_o / A_{\text{dc}}$
- **Settling time.** C_1 must be discharged during phase 1. The main limitation is due to limited output current and phase margin.
- **Clock feedthrough.** Can be alleviated by using especial clocking schemes.
- **Noise.** In most of the practical cases the dominant noise components are due to the Switches!!!

Switched-Capacitor Integrator Analysis

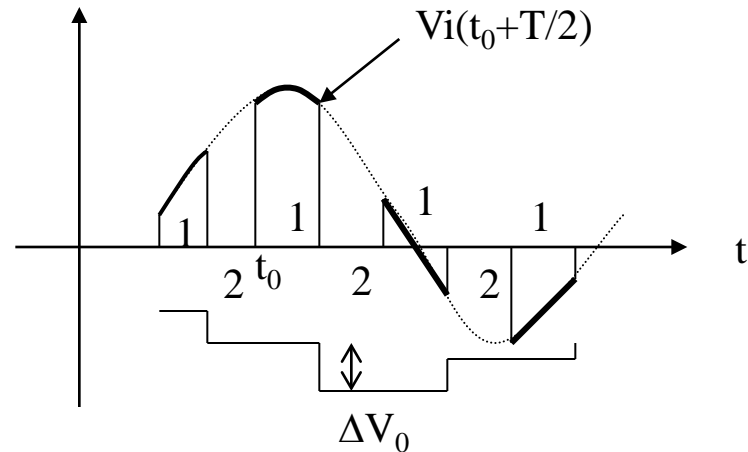


Charge conservation Principle:
 Charge injected by C1 is equal to the charge absorbed by CF ($\Delta Q_{C1} = \Delta Q_{CF}$)

$$\Delta V_0 C_F = -\Delta Q_{C1}$$

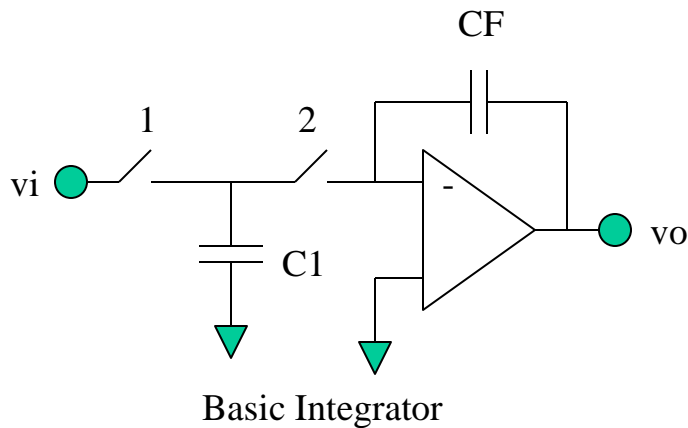
Phase 1 $t_0 < t \leq t_0 + T/2$

Phase 2 $t_0 + T/2 < t \leq t_0 + T$



$$\Delta V_0 = -\frac{C_1}{C_F} v_i(t_0 + NT/2)$$

Switched-Capacitor **Stray-Sensitive** Integrator Analysis



Phase 1 $t_0 < t \leq t_0 + T/2$

$$v_{C1}(t) = v_i(t)$$

$$v_{CF}(t) = v_{CF}(t_0)$$

$$v_{C1}(t_0 + NT/2) = v_i(t_0 + NT/2) \quad v_{CF}(t_0 + NT/2) = v_{CF}(t_0)$$

Phase 2 $t_0 + T/2 < t \leq t_0 + T$

Charge conservation Principle:

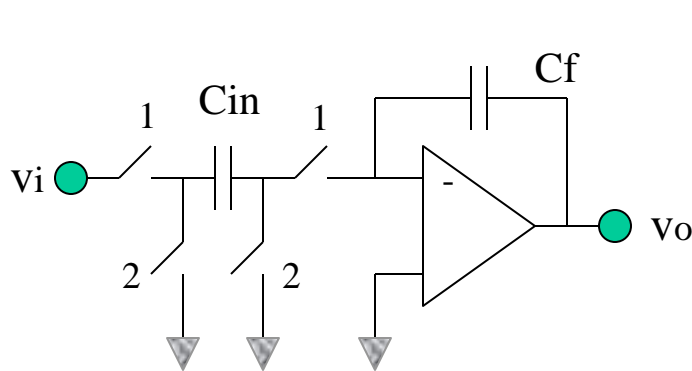
Charge injected by C1 is equal to the charge absorbed by CF ($\Delta Q_{C1} = \Delta Q_{CF}$)

$$[(0-0)C_1 - (v_i(t_0 + T/2) - 0)C_1] + [(0 - v_0(t))C_f - (0 - v_0(t_0 + T/2))C_f] = 0$$

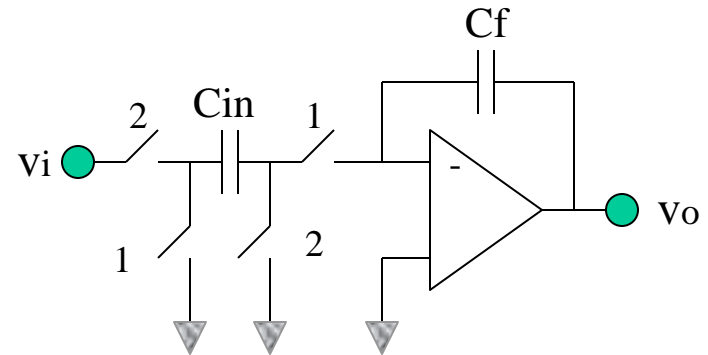
Solving for phase 2 ($t=t_0+T$)

$$H(z) = -\frac{C_1}{C_f} \frac{z^{-1/2}}{1 - z^{-1}}$$

Switched-Capacitor Integrators : Stray-Insensitive Integrators



Backward Integrator



Forward Integrator

Charge conservation ==> Phase 1

$$(v_i(nT) - 0)C_{in} + [(v_0(nT) - 0)C_f - (v_0(nT - T/2) - 0)C_f] = 0$$

Phase 2

$$0 = (v_0(nT - T/2) - 0)C_f - (v_0(nT - T) - 0)C_f$$

Solving for phase 1

$$v_i(nT)C_{in} - [v_0(nT) - v_0(nT - T)]C_f = 0$$

$$H(z) = -\frac{C_{in}}{C_f} \frac{1}{1 - z^{-1}}$$

Charge conservation ==> Phase 1

$$(0 - v_i(NT - T/2))C_{in} + [(v_0(nT))C_f - (v_0(nT - T/2))C_f] = 0$$

Phase 2

$$0 = C_f v_0(nT - T/2) - C_f v_0(nT - T)$$

$$v_{cin}(NT - T/2) = v_i(NT - T/2)$$

$$v_i(nT - T/2)C_{in} - [v_0(nT) - v_0(nT - T)]C_f = 0$$

$$H(z) = \frac{C_{in}}{C_f} \frac{z^{-1/2}}{1 - z^{-1}}$$